CASE REPORT

Accelerated Extraction Treatment with Invisalign

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We have seen a rising demand in recent years, especially from adults, for inconspicuous and natural-feeling orthodontic appliances. When the Invisalign* system was introduced, it had limitations such as the inability to control root movement and to move larger teeth over substantial distances. Advances in the quality of aligner materials and attachments and the introduction of a new force system, however, have expanded the range of treatment possibilities from mild crowding to more difficult extraction cases.1-14

Even with aligner therapy, one of the greatest sources of dissatisfaction among adult patients remains the length of treatment. This report describes a patient with severe anterior crowding who was treated with Invisalign appliances after the extraction of both upper canines and lower first premolars, using a microvibration device to accelerate tooth movement.

Diagnosis and Treatment Plan

This 26-year-old female expressed a desire to correct her maxillary anterior crowding and improve the esthetic appearance of her smile. The patient’s facial profile was straight, but both lips were slightly recessive with regard to the E-line (Fig. 1). Intraoral examination showed a Class II molar relationship with a 3mm overjet, a 1mm overbite, and coincident midlines. The archlength discrepancy was 13mm in the maxilla and 10mm in the mandible. We noted infralabioversion of both upper canines

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Fig. 1 26-year-old female patient with severe anterior crowding, blocked-out canines, and shallow bite before treatment.
and a marked linguoversion of the lower left second premolar.

Cephalometric analysis indicated a skeletal Class II relationship with a steep mandibular plane angle (Table 1). The upper central incisors were slightly inclined lingually, and the lower central incisors labially. The panoramic x-ray confirmed a lateral gap in the mandibular head, but this did not impede mandibular function. The periodontal tissue around the upper canines evidenced significant regression; while there was no tooth mobility, the maximum pocket depth was 11mm.

Based on these observations, we diagnosed the case as a skeletal Class II with infralabioversion of the maxillary canines and a steep mandibular plane angle. The treatment plan called for retraction of both upper and lower incisors—17.8mm in the maxilla and 14.8mm in the mandible—after extraction of the four first premolars.\textsuperscript{15-20} Because of the poor condition of the periodontal tissues around the upper canines, however, the patient would have required either long-term periodontal treatment or periodontal surgery. Therefore, we agreed to extract both upper canines instead of the upper first premolars. The patient also expressed concern about the esthetic appearance of fixed orthodontic appliances over a potentially long period, so we decided to implement the Invisalign system in conjunction with Accele-Dent\textsuperscript{**} to speed up treatment.

We fabricated plaster setup models to analyze the location, angle, and need for recontouring of the first premolars in relation to the final occlusion (Fig. 2). Adequate incisor retraction in this Class II malocclusion required a 2mm distal movement of the upper first molars and a

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2mm mesial movement of the lower first molars. Because there was insufficient space to move the maxillary anterior teeth by molar distalization alone, even after the extractions, we planned an overexpansion of the dental arches. Using the setup models as a guide, we simulated tooth movements on the ClinCheck® software (Fig. 3). We then estimated the amount of expansion we would need in each arch (Fig. 4) and planned the positions and shapes of the required attachments (Fig. 5).

**Treatment Progress**

All four third molars were removed before treatment. After extraction of the upper canines and lower first premolars, align-
Fig. 3 A. Pretreatment ClinCheck setup, with upper canines already removed and lower first premolars shaded for removal. B. ClinCheck prediction of final occlusion. C. Superimposition of pretreatment and projected post-treatment ClinCheck images.
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Fig. 4 Pretreatment intercusp widths measured on pretreatment ClinCheck images (A) and superimposed on post-treatment images (B); blue dots indicate cusp positions after arch expansion.

Fig. 5 Planned attachment locations and types (O = optimized; V = vertical rectangular; R = horizontal rectangular).
er treatment was initiated. We used all the maxillary teeth from first molar to first molar as anchorage for distal movement of the second molars (Fig. 6A). In the mandible, we used all the teeth excluding the canines and second premolars as anchorage for mesial movement of the canines. Since the root of the lower right canine was angled outward, we moved the tooth simply by tipping; the lower left canine was moved bodily along with its root. Distal movement of the upper second molars was completed in 12 weeks, and distal movement of the upper first molars in an additional two weeks (Fig. 6B). Lower extraction-space closure continued during this period with mesialization of the lower first molars (Fig. 6C).

After 33 weeks of treatment, distal movement of the upper premolars had been completed, with the incisors in an edge-to-edge relationship (Fig. 6D). At this point, we recalculated the retraction space for the maxillary incisors by means of a panoramic x-ray. Since the mandibular extraction spaces were closed, we could use all the teeth from second premolar to second premolar, including the canines, as anchorage for mesial movement of the lower first molars.

The aligner margins were trimmed about 3mm to accommodate direct-bonded hooks on the upper first premolars (Fig. 6E). Lingual buttons were bonded to the distobuccal edges of the lower first molars, and Class II elastics (16oz medium) were prescribed to be worn 20 hours per day. To prevent mesial tipping of the lower first molars, we added vertical rectangular attachments to their mesiobuccal edges. Instead of making precision cuts in the trays, we attached the buttons and hooks directly to the teeth to maintain proper aligner fit.

Improvement was seen in the anteroposterior relationship after use of the Class II elastics, and a Class I relationship was established in the buccal segments. The next phase involved retraction of the upper anterior teeth. Because of the tendency for aligner fit over the lateral incisors to worsen over time, we added attachments to the lingual surfaces of these teeth. After 10 months of treatment, the first ClinCheck phase was finished (Fig. 6F). Distal movement of the upper first molars was complete, with space visible at the mesial edge of the upper left first molar. Movement of the lower second premolars and canines had closed all mandibular spaces.

The shapes and positions of the attachments were modified for the refinement phase, based not only on the crown positions, but on the root positions as well. After 13 months of treatment, the aligner compatibility and the crown and root positions were all comparable to the computer-simulated predictions (Fig. 6G).

In the final stages of refinement, we confirmed occlusal contact of all upper and lower molars and a one-to-two-tooth occlusal relationship in the buccal segments (Fig. 6H). The overbite and overjet were each 1mm.

After a total 18 months of treatment, all buttons, hooks, and attachments were removed. The patient was instructed to wear Class II elastics at night for an additional four months.

**Treatment Results**

The patient’s chief complaint—the infralabioversion of the canines—was resolved, and the improvement in gingival esthetics yielded a pleasant smile (Fig. 7A). The lips were positioned appropriately in relation to the E-line; thanks to the retraction of the maxillary incisors, the upper lip was particularly natural and relaxed. A Class I molar relationship with symmetrical arches was achieved, and all spaces were closed. The physiologically correct overbite and overjet maintained the coincidence of the dental and facial midlines.

Post-treatment protrusive and lateral movements of the mandible were smooth and linear. The patient was probably biting with considerable force in centric occlusion due to nervousness during the initial examination, resulting in a slight opening of the molar contacts that we did not recognize as initial occlusal sliding or similar instability of the occlusion. In later images, the patient was more relaxed.

Panoramic x-rays confirmed that there was no change in the level of the alveolar bone, which remained in stable and healthy condition. Although there were no signs of root resorption, there was some lack of
parallelism, especially of the lower right lateral incisor.

Cephalometric analysis indicated that the mandibular plane angle was slightly reduced (Table 1). Superimpositions showed that while the upper and lower incisors were retruded, their axes were upright and closer to the norm (Fig. 7B).

Discussion

Aligners appeal to adults because of their esthetic appearance and their ability to produce gradual tooth movements with light forces spread out over time. Previous reports have focused on cases without extractions or with only partial extractions, perhaps due more to the difficulty of closing spaces without crown tipping than to the difficulty of moving teeth. When extraction spaces are closed with aligners, a bowing effect is often caused by sagging of the plastic around the extraction sites. This effect can be prevented by using Class II elastics to enhance intermaxillary anchorage (Fig. 8). If an elastic is attached directly to an aligner, however, the plastic will separate from the teeth, making it more difficult to maintain control over mesial and distal tooth movements. In the case shown here, we attached direct-bonded hooks to the first premolars in the canine positions, so that the teeth could rotate both mesially and distally within the aligners (Fig. 6E-G). At the same time, we added vertical rectangular at-

Fig. 6 Progress of treatment and corresponding ClinCheck images. A. After one month of treatment (aligner stage 10). B. After three months of treatment (aligner stage 18). C. After five months of treatment (aligner stage 30). D. After eight months of treatment (aligner stage 48) (continued on next page).
attachments to improve retention, leaving a margin of more than 2mm between the incisal edges and the aligners (Fig. 9). In the mandibular arch, which was serving as anchorage, the elastics were still not attached directly to the aligners, but to buttons on the buccal surfaces of the first molars. This kept the aligners from lifting off the teeth, while vertical rectangular attachments on the mesial edges of the molars prevented mesial angulation. To avoid tipping of the teeth adjacent to the mandibular extraction sites, we added vertical rectangular attachments that reduced the aligner movement to half the usual distance.21

Additional elastics were used to counteract palatal movement of the upper lateral incisors. Since the anatomical shape of the maxillary incisors makes it difficult to control their movement with aligners, we anticipated that only the incisor crowns would move labially once the patient’s anterior crowding was eliminated. Vertical rectangular attachments were added to the upper lateral incisors (on the lingual side for esthetic reasons) in the initial ClinCheck prescription, but the aligner fit over these teeth remained inadequate during the initial stages of treatment (Fig. 10A). Therefore, toward the end of refinement, an attachment was bonded near the gingival margin on the labial surface of each upper lateral incisor, and a metal button was bonded to the lingual surface. After inserting

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Fig. 6 (cont.) E. After nine months of treatment (aligner stage 54). F. After 10 months of treatment (aligner stage 60, end of first ClinCheck phase). G. After 13 months of treatment (refinement aligner stage 12). H. After 16 months of treatment (refinement aligner stage 25).
Fig. 7 A. Patient after 18 months of treatment. B. Superimposition of pre-and post-treatment cephalometric tracings.
To prevent tipping during the upper distal and lower mesial movement of the molars, we initially prescribed a slower staging that would have reduced the rate of tooth movement by half, to .15mm per aligner. The aligners would have been changed every 14 days over 30 months. Because that length of treatment was unacceptable to the patient, however, we elected to use AcceleDent®22-28 in conjunction with the aligner, the patient looped elastics over the incisal edge of the appliance on each side, connecting the lingual buttons and the labial attachments. Three weeks later, the aligner fit at the lateral incisors had improved significantly (Fig. 10B,C).

Fig. 8 Bowing effect avoided in extraction treatment with aligners by using Class II elastics to enhance intermaxillary anchorage.

Fig. 9 Class II elastics worn to direct-bonded hook at gingival margin and vertical rectangular attachment on upper right first premolar in canine position (left) and to metal button and vertical rectangular attachment on lower right first molar (right).

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The aligners. Although published accounts of the device’s effectiveness were limited to fixed appliances at that point, we prescribed its use for 20 minutes every evening (Fig. 11). According to the manufacturer, this daily microvibratory stimulation can speed up treatment by as much as 30%. We were able to shorten the interval between aligner changes to five days, resulting in a remarkably reduced treatment time of only 18 months. The patient experienced no discomfort from the AcceleDent device or from the faster aligner changes. She finished treatment with no interferences in protrusive or lateral mandibular movements and no esthetic concerns.

Conclusion

Aligners are not only esthetically pleasing to adult patients but, because they are easily removed, extremely safe. In the future, aligners are likely to be used in even more complex cases involving rotations, deep overbites, open bites, and unusual extractions. Further clinical investigations are needed into the effects of accelerated tooth movement in such cases.
REFERENCES